

PATENT APPLICATION SERIAL NO. _____

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE
FEE RECORD SHEET

12/26/2001 EHAILE1 00000004 10022599

01 FC:101

740.00 DP

PTO-1556
(5/87)

*U.S. GPO: 2000-468-987/39595

12/13/01



JC698 U.S. PTO

12-20-01

A

Please type a plus sign (+) inside this box → ☐PTO/SB/05 (11-00)
Approved for use through 10/31/2002. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

LHC0091-08

First Inventor

Thomas R. Neuenschwander

Title

PENCIL CORE AND METHOD OF MAKING

Express Mail Label No.

EL731285165US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

- 1.
- ☒
- Fee Transmittal Form (e.g., PTO/SB/17)
-
- (Submit an original, and a duplicate for fee processing)

- 2.
- ☐
- Applicant claims small entity status.
-
- See 37 CFR 1.27.

- 3.
- ☒
- Specification [Total Pages
- 50**
-]
-
- (preferred arrangement set forth below)
- Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure

- 4.
- ☒
- Drawing(s) (35 U.S.C. 113) [Total Sheets
- 25**
-]

5. Oath or Declaration [Total Pages
- 1**
-]
- a. ☐ Newly executed (original or copy)
 - b. ☒ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b).

- 6.
- ☒
- Application Data Sheet. See 37 CFR 1.76

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

- 7.
- ☐
- CD-ROM or CD-R in duplicate, large table or
-
- Computer Program (Appendix)
-
8. Nucleotide and/or Amino Acid Sequence Submission
-
- (if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

- 9.
- ☐
- Assignment Papers (cover sheet & document(s))
-
- 10.
- ☐
- 37 CFR 3.73(b) Statement
- ☐
- Power of Attorney
-
- (when there is an assignee)
-
- 11.
- ☐
- English Translation Document (if applicable)
-
- 12.
- ☒
- Information Disclosure
- ☐
- Copies of IDS
-
- Statement (IDS)/PTO-1449 Citations
-
- 13.
- ☒
- Preliminary Amendment
-
- 14.
- ☒
- Return Receipt Postcard (MPEP 503)
-
- (Should be specifically itemized)
-
- 15.
- ☐
- Certified Copy of Priority Document(s)
-
- (if foreign priority is claimed)
-
- 16.
- ☐
- Request and Certification under 35 U.S.C. 122
-
- (b)(2)(B)(i). Applicant must attach form PTO/SB/35
-
- or its equivalent.
-
- 17.
- ☐
- Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment,
or in an Application Data Sheet under 37 CFR 1.76:☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: **09/152,979**Prior application information: Examiner **Karl Tamai**Group / Art Unit **2834**For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied
under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by
reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.**19. CORRESPONDENCE ADDRESS**☒ Customer Number or Bar Code Label**00832**or ☐ Correspondence address below

(Insert Customer No. or Attach bar code label here)

Name	Baker & Daniels				
Address	111 East Wayne Street, Suite 800				
City	Fort Wayne	State	IN	Zip Code	46802
Country	USA	Telephone	219-424-8000	Fax	219-460-1700

Name (Print/Type) **Anthony Niewyk**

Registration No. (Attorney/Agent)

24,871

Signature

Date

December 13, 2001Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any
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PTO/SB/17 (XX-XX)
Approved for use through 10/31/2002. OMB 0651-0032
Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

Complete if Known

TOTAL AMOUNT OF PAYMENT

\$740.00

Application Number

Filing Date

First Named Inventor

Thomas R. Neuenschwander

Examiner Name

Group Art Unit

Attorney Docket No.

LHC0091-08

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number

02-0385

Deposit Account Name

BAKER & DANIELS

- ☒ Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17

☐ Applicant claims small entity status. See 37 CFR § 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Code	Small Entity Code	Fee (\$)	Fee Description	Fee Paid
101	201	740	Utility filing fee	740.00
106	206	330	Design filing fee	
107	207	510	Plant filing fee	
108	208	740	Reissue filing fee	
114	214	160	Provisional filing fee	
SUBTOTAL (1)				\$740.00

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
9	-20** = 0	X	0.00
3	-3** = 0	X	0.00
Multiple Dependent			

Large Entity Code	Small Entity Code	Fee (\$)	Fee Description
103	203	18	Claims in excess of 20
102	202	84	Independent claims in excess of 3
104	204	280	Multiple dependent claim, if not paid
109	209	84	** Reissue independent claims over original patent
110	210	18	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) \$0.00

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code	Small Entity Fee Code	Fee (\$)	Fee Description	Fee Paid
105	205	130	65 Surcharge - late filing fee or oath	
127	227	50	25 Surcharge - late provisional filing fee or cover sheet	
139	239	130	130 Non - English specification	
147	247	2,520	For filing a request for ex parte reexamination	
112	212	920*	920* Requesting publication of SIR prior to Examiner action	
113	213	1,840*	1,840* Requesting publication of SIR after Examiner action	
115	215	110	55 Extension for reply within first month	
116	216	400	200 Extension for reply within second month	
117	217	920	460 Extension for reply within third month	
118	218	1,440	720 Extension for reply within fourth month	
128	228	1,960	980 Extension for reply within fifth month	
119	219	320	160 Notice of Appeal	
120	220	320	160 Filing a brief in support of an appeal	
121	221	280	140 Request for oral hearing	
138	238	1,510	1,510 Petition to institute a public use proceeding	
140	240	110	55 Petition to revive - unavoidable	
141	241	1,280	640 Petition to revive - unintentional	
142	242	1,280	640 Utility issue fee (or reissue)	
143	243	460	230 Design issue fee	
144	244	620	310 Plant issue fee	
122	222	130	130 Petitions to the Commissioner	
123	223	50	50 Processing fee under 37 CFR § 1.17(q)	
126	226	180	180 Submission of Information Disclosure Statement	
581	281	40	40 Recording each patent assignment per property (times number of properties)	
146	246	740	370 Filing a submission after final rejection (37 CFR § 1.129(a))	
149	249	740	370 For each additional invention to be examined (37 CFR § 1.129(b))	
179	279	740	370 Request for Continued Examination (RCE)	
169	269	900	900 Request for expedited examination of a design application	
Other fee (specify)				

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

SUBMITTED BY

Name (Print/Type)

Anthony Niewyk

Registration No. (Attorney/Agent)

24,871

Complete (if applicable)

Telephone

219-424-8000

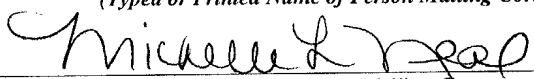
Signature

Date

December 13, 2001

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CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10) Applicant(s): Thomas R. Neuenschwander			Docket No. LHC0091-08
Serial No.	Filing Date	Examiner	Group Art Unit
Invention: PENCIL CORE AND METHOD OF MAKING			
<p>I hereby certify that the following correspondence:</p> <div style="border: 1px solid black; padding: 10px; margin: 10px 0;"> CONTINUATION PATENT APPLICATION </div> <p style="text-align: center;"><i>(Identify type of correspondence)</i></p> <p>is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on</p> <p style="text-align: center;"><u>DECEMBER 13, 2001</u> <i>(Date)</i></p> <div style="text-align: right; margin-top: 20px;"> <p>MICHELLE L. NEAL <i>(Typed or Printed Name of Person Mailing Correspondence)</i></p> <p> <i>(Signature of Person Mailing Correspondence)</i></p> <p>EL731285165US <i>("Express Mail" Mailing Label Number)</i></p> </div>			
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